

Page 1 of 10

This form must be completed in ink and changes must be initialed.

Honorable Board of Directors Santa Clara Valley Water District (District)

Pursuant to, and in compliance with, the Notice to Bidders and the Contract Documents, relating to the

C0663 – ANDERSON DAM TUNNEL PROJECT, the undersigned Bidder having become thoroughly familiar with the terms and conditions of the Contract Documents and with local conditions affecting the performance and costs of the Work and having fully inspected the Work site in all particulars, hereby proposes and agrees to fully perform the Work, including providing any and all labor and materials and performing all Work required to construct and complete said Work within the contract time stated and in accordance with the requirements of the Contract Documents, for the following sum of money.

The undersigned Bidder agrees to complete all the Work within <u>1,710</u> calendar days from the first chargeable day of the Contract, as stated in the Notice to Begin Work. The Bidder agrees to enter into a Contract with the District and provide the required bonds and insurance in accordance with the Instructions to Bidders, Contract Bonds, paragraph #22 and Execution of Contract, paragraph #23. If the Bidder fails to meet these requirements within the time specified in the Instruction to Bidders, Failure to Execute Contract, paragraph #24, the Bidder's security accompanying this Proposal may be forfeited and become the property of the District. No Contract exists until all Contract bonds and insurance documents have been accepted by the District.

TOTAL BID: \$ 186, 793, 560, 5

Bidder acknowledges receipt of the following Addenda to the Bid Documents:

Addenda are posted online at https://www.valleywater.org/construction.

NO Addenda received

Addenda received as follows:

Addendum No	Date	Addendum No. 5	Date
Addendum No	Date2/23/21	Addendum No ⁶	Date
Addendum No	Date	Addendum No7	Date
Addendum No4	Date	Addendum No. 🛛 😤	Date 3/25/21

Failure to acknowledge receipt of an Addendum on the Bid Form is not, in itself, cause for withdrawal or rejection of Bid, if it can be established that Bidder did, in fact, receive such Addendum prior to Bid opening.

BIDDER'S COMPANY INFORMATION		
NAME: Dragados/Obayashi ADTP Joint Ventue	ADDRESS:	555 Anton Blvd.
Contractor's California License	5	Suite 1000
NUMBER: Dragados 938329/Obayashi 346881		Costa Mesa, CA 92626
DATE OF EXPIRATION: 9/30/21 & 9/30/22		
LICENSE CLASSIFICATION(S): General A/ General A&B		
PHONE NO.: (949) 490-1800	Fax No.: (9-	49) 490-1801
Email Address:		
SIGNATURE BLOCK (Signature Block must be completed in	ink and changes	must be <i>initialed</i> .)
Bidder's Signature:		Date: 3/30/2021
Bidder's Name and Title (Print): Chad Mathes Attorney in	Fact Dragado	s/Obayashi ADTP Joint Ventur

(Rev. 03/20/2020) SBE NOT APPLICABLE C0663 - ANDERSON DAM TUNNEL PROJECT PAGE 11 of 26

> Attachment 12 Page 1 of 42



This form must be completed in ink and changes must be initialed.

ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
1	MOBILIZATION AND DEMOBILIZATION	Ĺ		
1a	Mobilization and Demobilization	Lump Sum Lump Sum	\$18,490,000	[™] \$18,490,000,
1b	Contractor – Obtained Permits	Lump Sum Lump Sum	\$ 50,000,	= \$18,490,000, \$50,000,00
2	SITE PREPARATION			
2a	Demolition	Lump Sum Lump Sum	\$500,000,	\$ 500,000,00
2b	Clearing and Grubbing	<u>16</u> ACRES	\$16,000,00	\$ 256, 000, ¹⁰
2c	Stripping	27,000 SY	\$10,50	\$ 270,000,00
2d	Install Active Treatment System (ATS)	Lump Sum Lump Sum	5000,000; \$+ 1,000;800; CM	\$500,000,000
2e	Operate Active Treatment System (ATS)	<u>32</u> MO	\$11,000,50	\$ 352,000, 19
2f	Coyote Road Turnouts	<u>Lump Sum</u> Lump Sum		\$ 28,000,50
2g	Giancola Driveway and Waterline	<u>Lump Sum</u> Lump Sum		\$ 200,000,00
2h	Compliance with NPDES General Permit	<u>32</u> MO	\$57,000,00	\$1,824,000.
2 i	Migratory Birds	<u>32</u> MO	\$16,000,00	\$ 1, 824, 000, ²⁵ \$ 512, 000, ²⁵ \$ 800, 000, ²⁶
2j	Noise and Vibration Monitoring	<u>32</u> MO	\$ 25,000;	\$ 800,000.00

SECTION A --- BASE BID

(Rev. 03/20/2020) SBE NOT APPLICABLE C0663 - ANDERSON DAM TUNNEL PROJECT PAGE 12 of 26 Solution Valley Water

(ADDENDUM NO. 7) REVISED BID FORM NO. 1 Proposal and Bid Items

Page 3 of 10

This form must be completed in ink and changes must be initialed.

ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
2k	Dust Control and Air Monitoring	<u>32</u> MO	\$68,000,00	\$2,176,000.
3	DIVERSION PORTAL			
3a	Geotechnical Borings	<u>985</u> LF	\$ 200,00	\$197,000,0
3b	Diversion Portal Excavation	89,500 CY	\$ 25, ^{op}	\$ 2,237,500,
3с	Soil Nails #20, 120ft	<u>43</u> EA	\$ 25,000,00	\$1,075,000,
3d	Soil Nails #18, 120ft	<u>81</u> EA	\$ 20,000,00	\$1,620,000,00
3e	Soil Nails #14, 120ft	<u>121</u> EA	\$ 20,000,00	\$ 2,420,000,00
3f	12-inch Shotcrete and #6 Rebar Mat	<u>42,650</u> SF	\$ 58,00	\$2,473,700,=
3g	Diversion Tunnel Portal Reinforced Concrete Encasement	<u>Lump Sum</u> Lump Sum		\$500,000.00
3h	Hydraugers	42 EA	\$20,000,00	\$ 840,000,00
3i	Diversion Portal Instrumentation	<u>Lump Sum</u> Lump Sum	\$200,000,	\$200,000,0
3j	Temporary Tunneling Portal Support	<u>Lump Sum</u> Lump Sum	\$ 500,000,00	\$500,000, ⁰⁰
3k	Diversion Portal Hydroseed	<u>2.94</u> ACRES	\$10,000,00	\$ 29,400,₽
4	LAKE - TAP PORTAL			
4a	Core Borings (Over Water)	<u>150</u> LF	\$ 158, 9	\$23, 700, 50

(Rev. 03/20/2020) SBE NOT APPLICABLE C0663 - ANDERSON DAM TUNNEL PROJECT PAGE 13 of 26

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ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
4b	Turbidity Barrier	<u>Lump Sum</u> Lump Sum	\$ 700,000,	\$700,000.00
4c	Lake-Tap Excavation (Dredging) and MTBM Landing Pad	<u>16,900</u> CY	\$ 200,00	\$ 3,380,000,
4d	Lake-Tap Rock Backfill	<u>810</u> CY		\$431,730,00
4e	Diversion Intake Trash Rack	<u>Lump Sum</u> Lump Sum	\$ 500,000 \$ 1,000,000 CM	\$ \$500,000,
5	TUNNEL EXCAVATION AND LINING			
5a	18.5-foot Diameter Tunnel Excavation - Class 3	<u>70</u> LF	\$14,500,00	\$1,015,000,00
5b	18.5-foot Diameter Tunnel Excavation - Class 4	<u>215</u> LF	\$ 19,000,00	\$4,085,000,5
5c	18.5-foot Diameter Tunnel Excavation - Class 5	<u>70</u> LF	\$ 20,000,00	\$ 1,400,000,00
5d	24-foot Diameter Tunnel Excavation - Class 3	<u>150</u> LF	\$19,500,"	12,925,000,
5e	24-foot Diameter Tunnel Excavation - Class 4	<u>550</u> LF	\$ 18,000,00	\$ 9,900,000.
5f	24-foot Diameter Tunnel Excavation - Class 5	<u>450</u> LF	\$25,000,00	\$ 11,250,000,
5g	#8 Rock Dowels	<u>210</u> EA	\$ 900,00	\$189,000,00
5h	Shotcrete in Transition Zone	<u>175</u> CY	\$ 2,850,00	\$189,000,00 \$498,750,00
5i	Reinforced Concrete Liner in Tunnel	<u>675</u> LF	\$ 9,000.00	\$ 6, 075,000,00 \$ 1, 190,000,00
5j	5-foot Articulated Joint Segments	<u>85</u> LF	\$ 14,000,00	\$ 1, 190,000,00

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ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
5k	10-foot Articulated Joint Segments	<u>460</u> LF	\$ 13,000.5	\$5,980,000.
51	LLOT Downstream Leg Reinforced Concrete Plug	<u>Lump Sum</u> Lump Sum	\$ 2, 500,00	\$2,500.00
5m	13-foot Steel Liner and Concrete Backfill within Tunnel	<u>Lump Sum</u> Lump Sum	\$ 3,800,000	13,800,000,=
5n	CLSM Backfill in Transition Zone	<u>450</u> CY	\$ 500,00	\$ 225,000,
50	Probing Within Rock Tunnel	<u>4,950</u> LF	\$ 117,00	\$ 579,150,00
5p	Waterproofing Membrane and Drainage Collection System	<u>1,220</u> LF	\$ 4,000,00	\$ 4,880,000,
5q	Diversion Air Vent and Observation Well	<u>Lump Sum</u> Lump Sum	\$ 500,000,	= \$ 500,000,ª
5r	Pre-excavation Drilling Within Rock Tunnel, Direct Cost	<u>4,000</u> LF	\$/03,00	\$ 412,000,00
5s	Pre-excavation Drilling and Grouting Within Rock Tunnel, Indirect Cost	<u>150</u> SHIFTS	\$ 4,000,00	\$ 600,000,00
5t	Pre-excavation Grouting Within Rock Tunnel, Microfine Cement	<u>4,000</u> SACKS	\$ 210,02	\$ 840,000, ⁰⁵
5u	Pre-excavation Grouting Within Rock Tunnel, Chemical Grout	<u>5.300</u> GALLONS	\$ 80.00	\$424,000,5
5v	Tunnel Excavation in Transition Zone – Class 3	<u>505</u> CY	\$ 540,00	\$ 272,700, ⁵² \$470,400,55
5w	Tunnel Excavation in Transition Zone – Class 4	<u>840</u> CY	\$ 560,00	\$470,400,5
5x	Tunnel Excavation in Transition Zone – Class 5	<u>340</u> CY	\$ 870,00	\$ 295, 800, ^{EE}

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ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
5у	Tunneling Standby	30 DAYS	\$60,000,000	\$1,800,000.
6	SHAFT EXCAVATION AND LINING			
6a	Shaft Excavation, Initial Support, Final Lining and Backfill	Lump Sum Lump Sum	\$ 5,025,0a	\$5,025,000,
7	MICROTUNNEL			
7a	Microtunneling Mobilization and Demobilization	<u>Lump Sum</u> Lump Sum	\$ 2,900,000	e \$2,900,000;
7b	Microtunneling (8 to 9-ft Inside Diameter)	<u>372</u> LF	\$ 24,000,00	\$8,928,000,9
8	DIVERSION OUTLET STRUCTURE			
8a	Diversion Outlet Structure Excavation	21,500 CY	\$ /8, 00	\$ 387,000,00
8b	Soil Nails #14, 20 ft	EACH	\$ 5,500,	\$ 387,000. \$ 396,000, =
8c	6-inch Shotcrete and #6 Rebar Mat	<u>5,150</u> SF		\$ 412,000,00
8d	CLSM Backfill for Diversion Outlet Structure	<u>9,650</u> CY	\$ 280, 52	\$2,702,000,3
8e	Earthfill Backfill for Diversion Outlet Structure	<u>6,200</u> CY	\$ 30,02	\$ 186,000,=
8f	Diversion Outlet Structure Concrete Foundation	<u>3,600</u> CY		\$ 3,600,000.
8g	Diversion Outlet Structure Foundation Anchors	<u>114</u> EACH	\$ 30,000,20	\$ 3.420,000,0
8h	Diversion Outlet Structure	<u>Lump Sum</u> Lump Sum	\$ 5, 500,000,	\$ 5,500,000,



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		<u> </u>	1100 to 725	
ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
8 i	132-inch Butterfly Valves	Lump Sum Lump Sum	\$3,000,00.9 \$6,000,00.9 \$6,000,000.9 CM	\$ 3,000,000,000
8 j	132-inch Fixed Cone Valves	<u>Lump Sum</u> Lump Sum	\$5,000,000,	\$ 5,000,000,000
8k	156-inch Steel Pipe	<u>50</u> LF	\$ <i>34,000,²⁰</i>	\$ 1,700,000,00
81	132-inch Steel Pipe	<u>180</u> LF	\$ 15,000,00	\$ 2,700,000,00
8m	Special Seismic Steel Pipe	<u>Lump Sum</u> Lump Sum	\$10,000,000,	\$10,000,000,00
8n	24-inch Sleeve Valve	<u>Lump Sum</u> Lump Sum	\$ 1,500,000	\$1,500,000,00
80	Miscellaneous Mechanicals	<u>Lump Sum</u> Lump Sum	\$ 500,000?	e \$500,000,00
8р	Electrical Equipment	<u>Lump Sum</u> Lump Sum	\$ 4,000,000,	* 4,000,000,=
8q	Instrumentation and Controls	<u>Lump Sum</u> Lump Sum	\$ <i>1,700,000</i> ;	* \$1,700,000, ⁷⁹
8r	Diversion Outlet Structure Access Road and Permanent Fencing	<u>Lump Sum</u> Lump Sum	\$ 500,000,	45,000 cm \$500,000,€
8s	Hydrostatic Testing	<u>Lump Sum</u> Lump Sum	\$ 200,000,	\$200,000.00
9	COYOTE CREEK MODIFICATIONS			
9a	Temporary Dike and Dewater Northern Channel	<u>Lump Sum</u> Lump Sum	\$402,000	\$402,000, ^{re}
9b	Realign Anderson Force Main (AFM)	<u>Lump Sum</u> Lump Sum	\$ 3,000,000,	\$3,000,000, \$755,100.
9c	Discharge Channel and Northern Channel Excavation	41,950 CY	\$ 18.00	\$755,100.00

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ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
9d	Northern Channel Substrate	<u>3,450</u> CY	\$ /25,00	\$ 431, 250,
9e	Northern Weir and Southern Weir	<u>Lump Sum</u> Lump Sum	\$ 350,000,	\$ 350,000,00
9f	Permanent Dikes Abutting Southern Weir	<u>Lump Sum</u> Lump Sum	\$ 35,000, ⁹⁹	\$ 35,000,00
9g	Riprap Type 1	<u>3,600</u> CY	\$ 115,00	\$414,000,00
9h	Riprap Type 2	<u>9,750</u> CY	\$ 140,00	\$1,365,500,00
9i	Riprap Type 3	<u>1,350</u> CY	\$ 150,02	\$ 202,500,00
9j	Riprap Type 4	<u>60</u> CY	\$ 250,00	\$ 15,000,00
9k	Boulder Grade Control	<u>200</u> TONS	\$ 150,00	\$ 30,000.00
91	Turf Reinforcement Matting	<u>3,700</u> SY	\$ 75.00	\$ 277,500,00
9m	Coyote Creek Hydroseed	0.75 ACRES	\$10,000,00	\$ 7,500,00
	SECTION	N A SUBTOTAL	\$ 174,2	36,180,00



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ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
10	SUPPLEMENTAL WORK			
10a	Landslide Excavation, Hoot Owl Way	21,650 CY	\$ 30,00	\$649,500.
10b	Landslide Excavation, Boat Marina	<u>4,330</u> CY	\$ 11,00	\$649,500.° \$47,630,°
10c	Landslide Remediation, Soil Nails #8, 100 feet	<u>737</u> EACH	\$ 9,500,20	\$7,001,500,
10d	Landslide Remediation, Soil Nails #8, 140 feet	<u>248</u> EACH	\$ / 3,000,00	\$3,224,000.
10e	Landslide Remediation, Earthfill for Hoot Owl Way	<u>21,850</u> CY	\$ 5,50	\$ 109,250,9
10f	Landslide Remediation, Earthfill for Boat Marina	4,400 CY	\$ 10,02	\$ 44,000,55
10g	Existing Intake Improvements, Soil Nails #8, 75 feet	<u>45</u> EACH	\$ 4,500,50	\$ 202, 500, 2
10h	Existing Intake Improvements, Soil Nails #8, 100 feet	EACH	\$ 8,000,00	\$ 368,000,0
10i	Existing Intake Improvements, Soil Nails #14, 75 feet	4 EACH	\$ / 2,000,00	\$48,000,0°
10j	Existing Intake Improvements, Structural/Mechanical Alterations	<u>Lump Sum</u> Lump Sum	\$ 200,000,00	\$ 200,000,0 \$ 63,000,00 957,380;-
10k	Supplemental Work Hydroseed	6.3 ACRES	\$ 10,000,00	\$ 63,000,00

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ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PR		TOTAL
11	ALLOWANCES				
11a	Other Wildlife and Fish Species (19.09)	1	\$250,000	0.00	\$250,000.00
11b	Sensitive Plants and Vegetation (19.10)	1	\$250,000	0.00	\$250,000.00
11c	Dispute Review Board	1	\$75,000	0.00	\$75,000.00
11d	Facilitated Partnering	1	\$25,000).00	\$25,000.00
	5		TAL		\$600,000.00
ΤΟΤΑΙ	BID (SECTIONS A SUBTOTAL + B SUB	TOTAL + C SUBTO	TAL) \$	183,	793,560, 793,560,



- Α. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form below for each Subcontract that exceeds one-half of one percent (1/2%) of the Bidder's total Bid. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of 1/2% of Bidder's total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words "and/or" will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.
- B. Failure by a subcontractor to be registered to perform public work as required by the California Labor Code Section 1771.1 (a) shall be grounds under Section 4107 of the Public Contract Code for the Contractor, with the consent of the awarding authority, to substitute a subcontractor who is registered to perform public work pursuant to Section 1725.5 in place of the unregistered subcontractor.

NAME	LICENSE NO.	DIR REGISTRATION NO.	TYPE	% of TOTAL
LOCATION (City & State)	EXPIRATION DATE	EXPIRATION DATE	OF WORK	CONTRACT
ME ROSENDIN	142881	1000000659	CM	
SAN JOSE, CA	09/30/2022	06/30/2022	Cat Everteic	
ROYAL ELETERC	357377	1000000111	SITE	9 270/
SACRAMENTO, CA	05/31/2021	06/30/2021	GETRIC	2,32%
M BLOCKA	679326	1000000447	SITE	
PLEASANTON, CA	10/31/2021	06/30/2022	- Exerce	n
M AAES, MC CM			STE	220
SAN FRANCISCO, CA			Electerc'	211
ow IM ELECTRIC	771327	PW-LR-10004256		
RIVERSIDE, CA	01/31/2023	06/30/2021	- CuE CAM	CM.
M FRANKIE BECTRC	288366	100000355	ELEC EQUIP	
STOCKTON, CA	06/30/2022	06/30/2022	& WSTROMENTAR	2 con

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)										
Bidder's Signature:	YL					Date:	03/30	/2021		
	\mathcal{T}									
Bidder's Name and Title	e (Print): Chad	Mathes	Attorney	in Fact	Dragados/Ob	ayashi	L ADTP	Joint	Ventu	re



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	NAME	LICENSE NO.	DIR REGISTRATION NO.	TYPE	% of TOTAL CONTRACT	
	LOCATION (City & State)	EXPIRATION DATE	EXPIRATION DATE	OF WORK		
	Global Diving Seattle, WA	806939 4 3012022	1000010707	Mavine	2.04%	
cm	Havbor offshore Inc.	742617	1000030945	Manne au	-	
cun	Washougal, WA	9130/2021	6130 hor	manne cu	1.	
_						
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SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)							
Bidder's Signature:		Date: 03/30/2021					
Bidder's Name and Tit	tle (Print): Chad Mathes Attorney in Fact Dragad	dos/Obayashi ADTP Joint Ventur					



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- B. Failure by a subcontractor to be registered to perform public work as required by the California Labor Code Section 1771.1 (a) shall be grounds under Section 4107 of the Public Contract Code for the Contractor, with the consent of the awarding authority, to substitute a subcontractor who is registered to perform public work pursuant to Section 1725.5 in place of the unregistered subcontractor.

NAME	LICENSE NO.	DIR REGISTRATION NO.	TYPE	% of TOTAL	
LOCATION (City & State)	EXPIRATION DATE	EXPIRATION DATE	OF WORK	CONTRACT	
M JWFOWLOL	777391	1000002667	CM MATBAA		
WE ARD& BURKE TUNNTANGING	1050689	PW-2R-100055301	-CM		
PRIMORIS VADNAIS TRAKHL	02/28/2023 ES 997903	1000030849	CN FOT TISTE	11.0.101	
LAKE FOREST, CA	10/31/2022	06/30/2021	MTBM	4.44%	
\frown	(†)				

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)								
Bidder's Signature:		Date:	03/30/2	2021				
Bidder's Name and Title (Print): Chad	Mathes Attorney in Fact Dragados/Ob	ayashi	. ADTP J	Toint	Ventu:	re		



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NAME	LICENSE NO. DIR REGISTRATION NO.		TYPE	% of TOTAL	
LOCATION (City & State)	EXPIRATION DATE	EXPIRATION DATE	OF WORK	CONTRACT	
Prime Electric San Jose, CA	835815 4130 2022	100000-2013 613012022	Tunnel Electric	1.68%	

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)							
Bidder's Signature:	A	Date:	03/30/2021	L			
Bidder's Name and Ti	itle (Print): Chad Mathes Attorney in Fact Dragados/01	bayash:	i ADTP Join	it Venture			

(Rev. 03/20/2020) SBE NOT APPLICABLE



- Α. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form below for each Subcontract that exceeds one-half of one percent (1/2%) of the Bidder's total Bid. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of 1/2% of Bidder's total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words "and/or" will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.
- B. Failure by a subcontractor to be registered to perform public work as required by the California Labor Code Section 1771.1 (a) shall be grounds under Section 4107 of the Public Contract Code for the Contractor, with the consent of the awarding authority, to substitute a subcontractor who is registered to perform public work pursuant to Section 1725.5 in place of the unregistered subcontractor.

NAME	LICENSE NO.	LICENSE NO. DIR REGISTRATION NO.		% of TOTAL
LOCATION (City & State)	EXPIRATION EXPIRATION DATE DATE		TYPE OF WORK	CONTRACT
EC Applications Reno, NV	1003207 4130/2021	1000021148 613012021	Waterphof	1.6%

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)								
Bidder's Signature: /		Date:	03/30/2021					
Bidder's Name and 7	Title (Print): Chad Mathes Attorney in Fact Dragados/	Obayashi	ADTP Joint	Ventur				



- Α. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form below for each Subcontract that exceeds one-half of one percent (1/2%) of the Bidder's total Bid. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of 1/2% of Bidder's total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words "and/or" will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.
- B. Failure by a subcontractor to be registered to perform public work as required by the California Labor Code Section 1771.1 (a) shall be grounds under Section 4107 of the Public Contract Code for the Contractor, with the consent of the awarding authority, to substitute a subcontractor who is registered to perform public work pursuant to Section 1725.5 in place of the unregistered subcontractor.

	NAME	LICENSE NO.	DIR REGISTRATION NO.	TYPE	% of TOTAL	
	LOCATION (City & State)	EXPIRATION DATE	EXPIRATION DATE	OF WORK	CONTRACT	
cm	Access Limited	988368	1000005030	Sil Noul		
	Oceang, CA	11/30/2021	6130/2022	Shotcrete C	r1.	
ar	Sierra Mansain	37555	1000001151	Soil Would		
	Sonora, (A	10/30/2022	630 2022	Sholdete	CM	
	Drittfech	745354	10000043666	Sol hall		
0	Switch CA	1/31/2022	6130/2022	Shotavete,	an	
cu	Condon-Johnson	300067	1000004443	Soit Noult		
	Oakland CA	813112021	630/222	Shotcrete	CM	
CN	Force Duilling	1061114	PW-LR-10005	10137501 Mart		
	At mornis pA	12/31/2021	630/2021	Shotavete	cm.	
-	KEUER	482246	1000006388	SOIL NML/	5.6%	
L	IRVINE, CA	11/30/2021	6/30/2022	REW FORCEMENT	5,0 10	

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)								
Bidder's Signature:	Date: 03	3/30/2021						
Bidder's Name and Title (Print): Chad Mathes Attorney in Fact Dragados/01	payashi A	DTP Joint	Venture					



This form must be completed in ink and changes must be initialed.

- Α. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form below for each Subcontract that exceeds one-half of one percent (1/2%) of the Bidder's total Bid. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of 1/2% of Bidder's total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words "and/or" will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.
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	NAME	LICENSE NO. DIR REGISTRATION NO.			TYPE	% of TOTAL
	LOCATION (City & State)	EXPIRATION DATE	EXPIRATION DATE	OF WORK		CONTRACT
	CMC REBAR	778010	100000298	P	GARA	42501
TRAC	Y ETIWANDA, CA	04/30/2022	06/30/2022	~		4.25%
Cm.	PACIFIC STEEL	997880	100000 4101	D	the are	
CA	SAN DIEGO, CH	10/31/2022	06/30/2021	A	ASATC_	cm
	Monter Rober Inc.	100135	1000033623	101		
O	Aptos, 2A	313112023	6130 2021	Kob	ac cm	
cm.	MARTINEZ STEEL			REI	BAR C	n
		4				
-						
l						
	SIGNATURE BLOCK (Signature Blo	ock must be complete	d in <i>ink</i> and changes r	nust be <i>ir</i>	nitialed.)	
[Bidder's Signature:	X				0/2021

Bidder's Name and Title (Print): Chad Mathes Attorney in Fact Dragados/Obayashi ADTP Joint Venture

(Rev. 03/20/2020) SBE NOT APPLICABLE C0663 - ANDERSON DAM TUNNEL PROJECT PAGE 21 of 26



This form must be completed in ink and changes must be initialed.

- Α. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form below for each Subcontract that exceeds one-half of one percent (1/2%) of the Bidder's total Bid. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of 1/2% of Bidder's total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words "and/or" will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.
- B. Failure by a subcontractor to be registered to perform public work as required by the California Labor Code Section 1771.1 (a) shall be grounds under Section 4107 of the Public Contract Code for the Contractor, with the consent of the awarding authority, to substitute a subcontractor who is registered to perform public work pursuant to Section 1725.5 in place of the unregistered subcontractor.

EXPIRATION		TYPE		
EXPIRATION EXPIRATION DATE DATE		OF WORK	% of TOTAL CONTRACT	
AIU	1000008037	Quality Curry	0,64%	
NA	6130/21	Quality testing	0,01/0	
		J		
	Alu	NIA 1000008037	NIA 1000008037 Quality Curty	

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)					
Bidder's Signature:		Date:	03/30/2021		
-					
Bidder's Name and Title (P	rint): Chad Mathes Attorney in Fact Dragados/	/Obayash	i ADTP Joint	: Venture	

(Rev. 03/20/2020) SBE NOT APPLICABLE

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In accordance with Public Contract Code Section 7106,		Contract Code Section 7106,	Chad Mathes		
			(Bidder's full name)		
de	clares that he or she is	Attorney in Fact			
			(Bidder's title)		
of	Dragados/Obayashi AD	FP Joint Venture			

(Company's name)

the party making the foregoing Bid that the Bid is not made in the interest of, or on behalf of, any disclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that the Bidder has not directly or indirectly induced or solicited any other Bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any Bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the Bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the Bid price of the Bidder or any other Bidder, or to fix any overhead, profit, or cost element of the Bid price, or of that of any other Bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the Bid are true; and, further, that the Bidder has not, directly or indirectly, submitted the Bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, Bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

SIGNATURE BLOCK (Signature Block must be completed in <i>ink</i> and changes must be <i>initialed</i> .)			
Bidder's Signature:	Act	Date: 3/30/2021	
Bidder's Name and	Title (Print): Chad Mathes Attorne	y in Fact Dragados/Obayashi ADTP Joint Ventur	



In accordance with Public Contract Code Section 7106,		Chad Mathes		
	· · · · · · · · · · · · · · · · · · ·	(Bidder's full name)		
declares that he or she is	Executive Vice President			
	(Bidder's title)		
of Dragados USA, Inc.				

(Company's name)

the party making the foregoing Bid that the Bid is not made in the interest of, or on behalf of, any disclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that the Bidder has not directly or indirectly induced or solicited any other Bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any Bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the Bidder has not in any manner, directly or indirectly sought by agreement, communication, or conference with anyone to fix the Bid price of the Bidder or any other Bidder, or to fix any overhead, profit, or cost element of the Bid price, or of that of any other Bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the Bid are true; and, further, that the Bidder has not, directly or indirectly, submitted the Bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, Bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

SIGNATURE BLOCK (Signature Block must be completed in <i>ink</i> and changes must be <i>initialed</i> .)			
Bidder's Signature:	Date: 3/30/2021		
Bidder's Name and Title (Print): Chad Mathes E	cecutive Vice President Dragados USA, Inc.		

(Rev. 03/20/2020) SBE NOT APPLICABLE



This form must be completed in ink and changes must be initialed.

In accordance with Public (Contract Code Section 7106,	Rinkou Aki
	,	(Bidder's full name)
declares that he or she is	President North American	Civil Group
		(Bidder's title)
of Obayashi Corporation		

(Company's name)

the party making the foregoing Bid that the Bid is not made in the interest of, or on behalf of, any disclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that the Bidder has not directly or indirectly induced or solicited any other Bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any Bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the Bidder has not in any manner, directly or indirectly or indirectly, sought by agreement, communication, or conference with anyone to fix the Bid price of the Bidder or any other Bidder, or to fix any overhead, profit, or cost element of the Bid price, or of that of any other Bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the Bid are true; and, further, that the Bidder has not, directly or indirectly, submitted the Bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, Bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

SIGNATURE BLOCK (Signature Block must be completed in <i>ink</i> and changes must be <i>initialed</i> .)						
Bidder's Signature:	秋	林鹤		Date: 3	/29/2021	
Bidder's Name and	Title (Print): ^{Rinkou}	Aki President	North Amrican	Civil Group	Obayashi	Corporatio

(Rev. 03/20/2020) SBE NOT APPLICABLE

C0663 - ANDERSON DAM TUNNEL PROJECT PAGE 22 of 26

> Attachment 12 Page 21 of 42



BE IT KNOWN BY THESE PRESENTS,

That we,	Dragados/Obayashi ADTP Joint Venture	, as PRINCIPAL,
and Libert	y Mutual Insurance Company	, as SURETY,

are held and firmly bound unto the Santa Clara Valley Water District, hereinafter called the District, in the penal sum of TEN PERCENT (10%) OF THE TOTAL AMOUNT OF THE PROPOSAL of the Principal above named, submitted by said Principal to the Santa Clara Valley Water District, for the work described below, for the payment of which sum is lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents. In no case shall the liability of the surety hereunder exceed the sum of \$<u>Ten Percent (10%) of the Total Proposal</u>

THE CONDITION OF THIS OBLIGATION IS SUCH,

That whereas the Principal has submitted the above mentioned Proposal to the District, for certain construction specifically described as follows, for which Proposals are to be opened at San Jose, California, on<u>March 30</u>, 20<u>21</u>, (or such other date as specified per Addendum) for Anderson Dam Tunnel Project; Project No. 91864005; Contract No. C0663

NOW, THEREFORE, if the aforesaid Principal is awarded the Contract and, within the time and manner required under the Contract Documents, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the Proposal, and files originals (copies are unacceptable) of the two bonds with the District, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law, then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

In the event suit is brought upon this bond by the obligee and judgment is recovered, the surety shall pay all costs incurred by the obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

IN WITNESS WHEREOF, we have hereunto set our hands and seal on the 22nd	_ day of
<u>March, 2021</u>	

PRINCIPAL: Dragados/Obayashi ADTP Joint Venture
Signature
Chad Matters
Name
Attomus in Fact
Title

555	An	ton	Blu	d Su	ite	1000
Addre	SS	Ce	ista	Mise	CA	92626

SURETY Liberty Mutual Insurance Company
Signature
Signature

	William	М.	Smith
--	---------	----	-------

Name

(Seal)

Attorney-in-Fact CA License # 0F06710 Title

175 Berkeley Street, Boston, MA 02116 Address

NOTE: 1. Original Bidder's Bond documents are required; copies are unacceptable. 2. Signature of those executing for Surety must be properly acknowledged

(Rev. 03/20/2020) SBE NOT APPLICABLE C0663 - ANDERSON DAM TUNNEL PROJECT PAGE 23 of 26

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

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CIVIL CODE § 1189

EXEMPLE AN	
A notary public or other officer completing this certificate to which this certificate is attached, and not the truthful	e verifies only the identity of the individual who signed the document ness, accuracy, or validity of that document.
State of CARAKAX Alabama]
County of Jefferson	_ }
On March 22, 2021 before me,	Anna Keith Childress, Notary Public
Date	Here Insert Name and Title of the Officer
personally appeared William M. Smith	
	Name(s) of Signer(s)
upon behalf of which the person(s) acted, execute	ed the instrument.
	laws of the State of California that the foregoing paragraph is true and correct.
	WITNESS my hand and official seal.
	Arma Keth Childe
	Signature

- OPTIONAL -

Completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attach Title or Type of Docum	ed Document			
Document Date:		Number of Pages:		
Signer(s) Other Than N	amed Above:			
Capacity(ies) Claimed by Signer(s) Signer's Name: Corporate Officer – Title(s):		Corporate Office	er – Title(s):	
Partner – Limited General Individual X Attorney in Fact		Partner – Lir	nited D General	
Trustee	Guardian of Conservator	Trustee	Guardian of Conservator	
	Liberty Mutual Insurance Company		nting:	

©2017 National Notary Association



credit,

ð

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

> Liberty Mutual Insurance Company The Ohio Casualty Insurance Company West American Insurance Company

Certificate No: 8201218-016032

on any business day

call EST

this Power of Attorney 9:00 am and 4:30 pm

n the validity of th 2-8240 between 9

To confirm 1 1-610-832-6

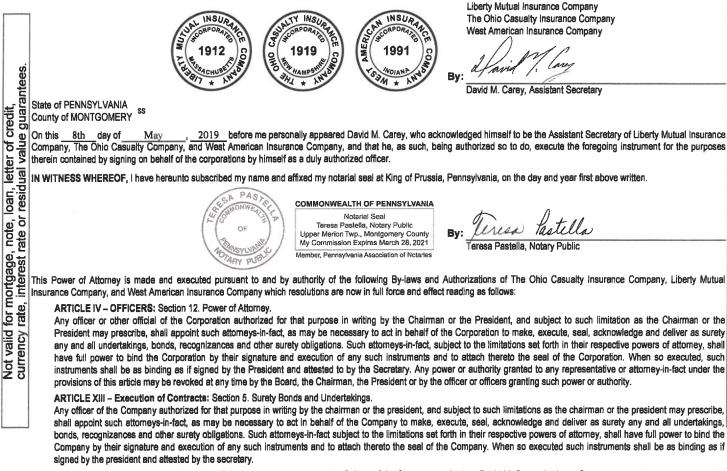
육

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Anna Childress; Richard H. Mitchell; Sam Audia; Mark W. Edwards, II; Alisa B. Ferris; Robert R. Freel; William M. Smith; Jeffrey M. Wilson

each individually if there be more than one named, its true and lawful attorney-in-fact to make, all of the city of Birmingham state of AI execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 8th day of 2019 . May



Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-infact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked

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IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 22nd day of March



LMS-12873 LMIC OCIC WAIC Multi Co. 062018

in July By: Renee C. Llewellyn, Assistant Secretary

> Attachment 12 Page 24 of 42

Dragados/Obayashi ADTP Joint Venture acknowledges the change to Note 1 in Bid Form No. 5, Bidder's Bond in Addendum No. 7 issued on March 24, 2021.

- 2. **REPLACE** Note 1 in Bid Form No. 5, Bidder's Bond, with:
 - "1. Original Bidder's Bond documents with embossed seal from the Surety are required. Photocopies are unacceptable and will result in the Bid submitted being determined non-responsive."

Chad Mathes Attorney in Fact Dragados/Obayashi ADTP Joint Venture



This form must be completed in ink and changes must be initialed.

Iran Contracting Act Bid Certification (Public Contract Code Sections 2202–2208) Must be Completed for any Proposal of \$1,000,000 or more

Public Contract Code (PCC) Sections 2200–2208 are known as the Iran Contracting Act of 2010 and prohibit public entities from contracting with companies that have specified business activities in Iran's petroleum sector. Companies seeking to bid on state or local government contracts are required to certify that they are not engaged in developing Iran's petroleum resources.

Prior to bidding on, submitting a proposal or executing a contract or renewal for a Santa Clara Valley Water District contract for goods or services of one million dollars (\$1,000,000 or more), a person (vendor, firm, corporation, etc.) must either: a) certify it is <u>not</u> on the current list of persons engaged in investment activities in Iran created by the California Department of General Services ("DGS") pursuant to Public Contract Code Section 2203(b) and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS; or b) demonstrate it has been exempted from the certification requirement for that solicitation or contract pursuant to Public Contract Code Section 2203(c) or (d). The DGS list is posted online at: (www.dgs.ca.gov, search "Iran Contracting Act List").

To comply with this requirement, please complete <u>one</u> of the options below. Please note: California law establishes penalties for providing false certifications, including civil penalties equal to the greater of \$250,000 or twice the amount of the contract for which the false certification was made; contract termination; and three-year ineligibility to bid on contracts. (Public Contract Code Section 2205.)



Please complete one of the options below (see prior page for additional explanation).

OPTION NO.1—CERTIFICATION

I, the official named below, certify I am duly authorized to execute this certification on behalf of the person/financial institution identified below, and the person/financial institution identified below is **not** on the current list of persons engaged in investment activities in Iran created by DGS and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person/vendor, for 45 days or more, if that other person/vendor will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities.

Name of Person (Vendor, Firm, Corporation Dragados/Obayashi ADTP Joint Ve	Federal ID No. (or n/a): N/A			
By (Authorized Signature):				
Printed Name and Title of Person Signing:				
Chad Mathes Attorney in Fact Dragados/Obayashi ADTP Joint Venture				
Date Executed: Executed in (City & State):				
03/30/2021	Costa Mesa, California			

OPTION NO. 2—EXEMPTION

Pursuant to Public Contract Code Sections 2203(c) and (d), a public entity may permit a person/financial institution engaged in investment activities in Iran, on a case-by-case basis, to be eligible for, or to bid on, submit a proposal for, or enter into or renew, a contract for goods and services.

If you have obtained an exemption from the certification requirement under the Iran Contracting Act, please fill out the information below, and attach documentation demonstrating the exemption approval.

Name of Person (Vendor, Firm,	Corporation, etc.)/Financial Institution (Printed):	Federal ID No. (or n/a):
By (Authorized Signature):		JI
Printed Name and Title of Perso	on Signing:	
Date Executed:	Executed in (City & State):	



This form must be completed in ink and changes must be initialed.

Iran Contracting Act Bid Certification (Public Contract Code Sections 2202–2208) Must be Completed for any Proposal of \$1,000,000 or more

Public Contract Code (PCC) Sections 2200–2208 are known as the Iran Contracting Act of 2010 and prohibit public entities from contracting with companies that have specified business activities in Iran's petroleum sector. Companies seeking to bid on state or local government contracts are required to certify that they are not engaged in developing Iran's petroleum resources.

Prior to bidding on, submitting a proposal or executing a contract or renewal for a Santa Clara Valley Water District contract for goods or services of one million dollars (\$1,000,000 or more), a person (vendor, firm, corporation, etc.) must either: a) certify it is <u>not</u> on the current list of persons engaged in investment activities in Iran created by the California Department of General Services ("DGS") pursuant to Public Contract Code Section 2203(b) and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS; or b) demonstrate it has been exempted from the certification requirement for that solicitation or contract pursuant to Public Contract Code Section 2203(c) or (d). The DGS list is posted online at: (www.dgs.ca.gov, search "Iran Contracting Act List").

To comply with this requirement, please complete **one** of the options below. Please note: California law establishes penalties for providing false certifications, including civil penalties equal to the greater of \$250,000 or twice the amount of the contract for which the false certification was made; contract termination; and three-year ineligibility to bid on contracts. (Public Contract Code Section 2205.)

(Rev. 03/20/2020) SBE NOT APPLICABLE C0663 - ANDERSON DAM TUNNEL PROJECT PAGE 24 of 26

> Attachment 12 Page 28 of 42



Please complete one of the options below (see prior page for additional explanation).

OPTION NO.1—CERTIFICATION

I, the official named below, certify I am duly authorized to execute this certification on behalf of the person/financial institution identified below, and the person/financial institution identified below is **not** on the current list of persons engaged in investment activities in Iran created by DGS and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person/vendor, for 45 days or more, if that other person/vendor will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS.

Name of Person (Vendor, Firm, Corporation, etc.)/Financial Institution (Printed): Federal ID No. (or n/a): Dragados USA, Inc. 20-3902316						
By (Authorized Signature)						
Printed Name and Title of Person Signing:	Printed Name and Title of Person Signing:					
Chad Mathes Executive Vice President Dragados USA, Inc.						
Date Executed:Executed in (City & State):03/30/2021Costa Mesa, California						

OPTION NO. 2—EXEMPTION

Pursuant to Public Contract Code Sections 2203(c) and (d), a public entity may permit a person/financial institution engaged in investment activities in Iran, on a case-by-case basis, to be eligible for, or to bid on, submit a proposal for, or enter into or renew, a contract for goods and services.

If you have obtained an exemption from the certification requirement under the Iran Contracting Act, please fill out the information below, and attach documentation demonstrating the exemption approval.

Name of Person (Vendor, Firm	, Corporation, etc.)/Financia	Institution (Printed):	Federal ID No. (or n/a):
By (Authorized Signature):			
Printed Name and Title of Pers	on Signing:		
Date Executed:	Executed in (0	City & State):	



Iran Contracting Act Bid Certification (Public Contract Code Sections 2202–2208) Must be Completed for any Proposal of \$1,000,000 or more

Public Contract Code (PCC) Sections 2200–2208 are known as the Iran Contracting Act of 2010 and prohibit public entities from contracting with companies that have specified business activities in Iran's petroleum sector. Companies seeking to bid on state or local government contracts are required to certify that they are not engaged in developing Iran's petroleum resources.

Prior to bidding on, submitting a proposal or executing a contract or renewal for a Santa Clara Valley Water District contract for goods or services of one million dollars (\$1,000,000 or more), a person (vendor, firm, corporation, etc.) must either: a) certify it is <u>not</u> on the current list of persons engaged in investment activities in Iran created by the California Department of General Services ("DGS") pursuant to Public Contract Code Section 2203(b) and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS; or b) demonstrate it has been exempted from the certification requirement for that solicitation or contract pursuant to Public Contract Code Section 2203(c) or (d). The DGS list is posted online at: (www.dgs.ca.gov, search "Iran Contracting Act List").

To comply with this requirement, please complete <u>one</u> of the options below. Please note: California law establishes penalties for providing false certifications, including civil penalties equal to the greater of \$250,000 or twice the amount of the contract for which the false certification was made; contract termination; and three-year ineligibility to bid on contracts. (Public Contract Code Section 2205.)



Page 2 of 2

This form must be completed in ink and changes must be initialed.

Please complete one of the options below (see prior page for additional explanation).

OPTION NO.1—CERTIFICATION

I, the official named below, certify I am duly authorized to execute this certification on behalf of the person/financial institution identified below, and the person/financial institution identified below is **not** on the current list of persons engaged in investment activities in Iran created by DGS and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person/vendor, for 45 days or more, if that other person/vendor will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS.

Name of Person (Vendor, Firm, Corporatio Obayashi Corporation	n, etc.)/Financial Institution (Printed):	Federal ID No. (or n/a): 346881
By (Authorized Signature):	the first Rinkon A	"
Printed Name and Title of Person Signing: Rinkou Aki President North Amer	ican Civil Group Obayashi Co	rporation
Date Executed: 03/24/2021	Executed in (City & State): Burlingame, California	

OPTION NO. 2—EXEMPTION

Pursuant to Public Contract Code Sections 2203(c) and (d), a public entity may permit a person/financial institution engaged in investment activities in Iran, on a case-by-case basis, to be eligible for, or to bid on, submit a proposal for, or enter into or renew, a contract for goods and services.

If you have obtained an exemption from the certification requirement under the Iran Contracting Act, please fill out the information below, and attach documentation demonstrating the exemption approval.

Name of Person (Vendor, Firm, Co	rporation, etc.)/Financial Institution (Printed):	Federal ID No. (or n/a):
By (Authorized Signature):		
Printed Name and Title of Person	. And a second	אסי איז איז איז איז איז איז איז איז איז אי
Date Executed:	Executed in (City & State):	

Cale, Arinda

From: Sent: To: Subject: Attachments: Kurz, Kevin Tuesday, March 23, 2021 12:21 PM Cale, Arinda FW: ADTP - Pre-Submittal of JV Affidavit and Power of Attorney - with attachments JV Affidavit_Fully Executed.pdf; ADTP DOJV POA_Dragados-Signed.pdf; ADTP DOJV POA_Obayashi-Signed.pdf; ADTP Obayashi POA.pdf

From: Robertson, Tom <trobertson@Dragados-USA.com>
Sent: Monday, March 8, 2021 12:11 PM
To: scvwdplanroom <scvwdplanroom@valleywater.org>
Subject: ADTP - Pre-Submittal of JV Affidavit and Power of Attorney - with attachments

To Whom it May Concern,

Per Instructions to Bidders Section 10, Dragados/Obayashi Joint Venture is hereby sending the Affidavit of Joint Venture to be filed and approved by the District prior to the time of opening bids. We are also sending a Power of Attorney signed by both Dragados and Obayashi that designates Chad Mathes as the Attorney-in-Fact with authority to sign the Proposal on behalf of the Joint Venture. We are sending this to be on file with the District prior to opening Bids, as required by the Instructions to Bidders Section 14, Item B.

We will be sending the originals of these documents in hard copy via FedEx for overnight delivery to the address in the Notice to Bidders Section 13:

Santa Clara Valley Water District Attention: Construction Contracts & Support Unit **C0663 - Anderson Dam Tunnel Project Project No. 91864005** 5905 Winfield Boulevard San Jose, CA 95123-2428

Please let me know if you have any questions regarding this submittal.

Tom Robertson | Vice President – West Division | DRAGADOS | 555 Anton Blvd Suite #1000 | Costa Mesa, CA 92626 Phone: 040, 400, 1774 | Cell: 040, 250, 2704 | Empile trobertson @Dragades USA com

Phone: 949-490-1774 | Cell: 949-350-2704 | Email: trobertson@Dragados-USA.com

Dragados USA, Inc. is an Equal Opportunity Employer





This form must be signed by authorized representatives of both firms

ATTACHMENT 1 - AFFIDAVIT OF JOINT VENTURE

	Chad Mathes	, an authorized agent of Dragados USA, Inc.			
	(NAME) and Rinkou Aki	, an authorized agent of	(FIRM A) Obayashi Corporation		
	(NAME)		(FIRM B)		
desire to bid jointly on the Santa Clara Valley Water District's Anderson Dam Tunnel Project					
					Project No. 91864005
	(PR	OJECT NAME/ CONTRACT NUMBER)			

- II. In accordance with the requirements of the Instructions to Bidders, Article 10 JOINT PROPOSALS, this Joint Venture Affidavit is submitted for approval by the District.
- III. We acknowledge that a joint venture license must be secured prior to the District awarding a contract to a joint venture (see California Business and Professions Code section 7029.1). If we are the successful bidder, we agree to secure a joint venture license within the timeframe established by the District for awarding a contract to construct the Project.
- **IV.** I declare under penalty of perjury in accordance with the laws of the State of California that the foregoing is true and correct.

SIGNATURE BLOCK FI	RM A (Signatur	e Block must be	completed	in <i>ink.</i>)	
Contractor's Signature:		-	>		Date: 3/2/2021
Contractor's Name and T	itle (Print): Cha	ad Mathes E	xecutive	Vice Pres	sident Dragados USA, Inc.
Contractor's License Nun SIGNATURE BLOCK FIF		-	completed i	in ink.)	
Contractor's Signature:	fx	林鎬	Rinkon	Ht.	Date: 02/24 /202/
Contractor's Name and T	itle (Print): Rin	kou Aki Pro			cican Civil Group

Contractor's License Number: 346881

POWER OF ATTORNEY

KNOWN ALL MEN BY THESE PRESENTS:

That, the undersigned represents and warrants that he is authorized to execute this Power of Attorney on behalf of the entity described; and

That Dragados USA, Inc. (the "Corporation") and Obayashi Corporation are members of a joint venture known as Dragados/Obayashi ADTP Joint Venture (the "Joint Venture"), and desire to submit a bid and associated documents to the Santa Clara Valley Water District in connection with the Anderson Dam Tunnel Project (the "Project") described in the Notice to Bidders for project no. 91864005 and contract no. C0663 dated January 26, 2021 (as amended by addenda from time to time, the "NTB"). Capitalized terms not otherwise defined herein shall have the meaning given to them in the NTB.

The Joint Venture does hereby designate, constitute, and appoint Chad Mathes as its dedicated point of contact for the purposes of the NTB and its true and lawful Attorney-in-Fact for the Joint Venture, and the Corporation hereby ratifies and confirms such appointment. The Attorney-in-Fact shall have the power to do and perform all acts on behalf of the Joint Venture necessary in connection with the preparation and submittal of the Bid for the Project, to wit; to execute the Bid Form, and all required documentation to be submitted with the Bid. The Joint Venture further authorizes Chad Mathes as its true and lawful Attorneyin-Fact, in the Joint Venture's place and stead, to sign, initial, execute, and deliver on behalf of the Joint Venture all agreements and documents and perform any and all acts necessary or desirable in the submission of the Bid; and further power to delegate in writing to others the authority to sign and execute all such documents; ratifying and confirming all that Chad Mathes as said attorney, shall lawfully do or cause to be done by virtue hereof.

The foregoing appointment of Chad Mathes as above specified, is hereby specifically made subject to revocation or amendment thereof as may hereafter be made by the Joint Venture; further, this Power of Attorney shall remain in effect for a period of three (3) years from its effective date, unless earlier revoked in writing.

IN WITNESS WHEREOF, the undersigned have caused this instrument to be duly executed on behalf of the Corporation in its capacity as a member of the Joint Venture on this 02 day of March 2021.

ATTEST:

By: Usla lolon

Name ISla Colow

DRAGADOS USA, INC.	
By:	
Name: Chad Mathes	
Charles Laction 2	

Its: Executive Vice President

STATE OF _____ COUNTY OF

Subscribed and sworn to before me this day of February 2021.
Notary Public Current Public
Notary Public
My commission expires:
A neary sublic or other officer completing this certificate verifies only the Made and the sublidness, accuracy, or validity of that document. State of California, County of Article and the Commission No. 2256184 Subscribed and sworn to (or attirmed) before me on this 2 nd day of Market Subscribed and sworn to (or attirmed) before me on this 2 nd day of Market Subscribed and sworn to or attirted before me on this 2 nd day proved to me on the basis of satisfactory evidence to be the personnel) who sopplared before me (best)

.

à

POWER OF ATTORNEY

KNOWN ALL MEN BY THESE PRESENTS:

That, the undersigned represents and warrants that he is authorized to execute this Power of Attorney on behalf of the entity described; and

That Obayashi Corporation (the "Corporation") and Dragados USA, Inc. are members of a joint venture known as Dragados/Obayashi ADTP Joint Venture (the "Joint Venture"), and desire to submit a bid and associated documents to the Santa Clara Valley Water District in connection with the Anderson Dam Tunnel Project (the "Project") described in the Notice to Bidders for project no. 91864005 and contract no. C0663 dated January 26, 2021 (as amended by addenda from time to time, the "NTB"). Capitalized terms not otherwise defined herein shall have the meaning given to them in the NTB.

The Joint Venture does hereby designate, constitute, and appoint <u>Chad Mathes</u> as its dedicated point of contact for the purposes of the NTB and its true and lawful Attorney-in-Fact for the Joint Venture, and the Corporation hereby ratifies and confirms such appointment. The Attorney-in-Fact shall have the power to do and perform all acts on behalf of the Joint Venture necessary in connection with the preparation and submittal of the Bid for the Project, to wit; to execute the Bid Form, and all required documentation to be submitted with the Bid. The Joint Venture further authorizes <u>Chad Mathes</u> as its true and lawful Attorney-in-Fact, in the Joint Venture's place and stead, to sign, initial, execute, and deliver on behalf of the Joint Venture all agreements and documents and perform any and all acts necessary or desirable in the submission of the Bid; and further power to delegate in writing to others the authority to sign and execute all such documents; ratifying and confirming all that <u>Chad Mathes</u> as said attorney, shall lawfully do or cause to be done by virtue hereof.

The foregoing appointment of <u>Chad Mathes</u> as above specified, is hereby specifically made subject to revocation or amendment thereof as may hereafter be made by the Joint Venture; further, this Power of Attorney shall remain in effect for a period of three (3) years from its effective date, unless earlier revoked in writing.

IN WITNESS WHEREOF, the undersigned have caused this instrument to be duly executed on behalf of the Corporation in its capacity as a member of the Joint Venture on this $-3^{r^{\perp}}$ day of March 2021.

ATTEST:

Name: Businers Dev. Administra

OBAYASHI CORPORATION

By:

Name: Rinkou Aki

Its: President of North American Civil Group

STATE	OF	

COUNTY OF _____

Subscribed and sworn to before me this	_ day of February 2021.
	See attached
Notary Public	See anne
My commission expires:	

JURAT			
	eting this certificate verifies only the identity of ent to which this certificate is attached, and not of that document.		
State of California			
County of San Mateo	_		
Λ	before me on this 3 day of <u>March</u> ,		
2021 by RinKon	A.K.		
Signature (Se	LOBAT OMIDVAR! MOZAFFAR Notary Public - California San Mateo County Commission # 2291181 My Comm. Expires Jun 25, 2023		
OPTIONAL INFORMATION	INSTRUCTIONS		
	The wording of all Jurats completed in California after January 1, 2015 must be in		
DESCRIPTION OF THE ATTACHED DOCUMENT PoA. (Title or description of attached document)	does not follow this form, the notary must correct the verbiage by using a jurat sta containing the correct wording or attaching a separate jurat form such as this one w does contain the proper wording. In addition, the notary must require an oath affirmation from the document signer regarding the truthfulness of the contents of document. The document must be signed AFTER the oath or affirmation. If the docum was previously signed, it must be re-signed in front of the notary public during the ju process.		
PoA	 does not follow this form, the notary must correct the verbiage by using a jurat state containing the correct wording or attaching a separate jurat form such as this one we does contain the proper wording. In addition, the notary must require an oath affirmation from the document signer regarding the truthfulness of the contents of document. The document must be signed AFTER the oath or affirmation. If the docume was previously signed, it must be re-signed in front of the notary public during the juprocess. State and county information must be the state and county where the document signer(s) personally appeared before the notary public. Date of notarization must be the date the signer(s) personal 		
PoA. (Title or description of attached document)	 State and county information must be the state and county where the document signer(s) personally appeared before the notary public. Date of notarization must be the date the signer(s) personal appeared which must also be the same date the jurat process completed. Print the name(s) of the document signer(s) who personally appear the time of notarization. 		
PoA (Title or description of attached document) (Title or description of attached document continued)	 does not follow this form, the notary must correct the verbiage by using a jurat state containing the correct wording or attaching a separate jurat form such as this one we does contain the proper wording. In addition, the notary must require an oath affirmation from the document signer regarding the truthfulness of the contents of document. The document must be signed AFTER the oath or affirmation. If the docum was previously signed, it must be re-signed in front of the notary public during the juprocess. State and county information must be the state and county where the document signer(s) personally appeared before the notary public. Date of notarization must be the same date the jurat process completed. Print the name(s) of the document signer(s) who personally appeared 		

OBAYASHI CORPORATION North American Regional Headquarters 577 Airport Boulevard, Suite 600 Burlingame, CA 94010 Telephone: (650) 952-4910 Facsimile: (650) 589-8384

Santa Clara Valley Water District Attn: Construction Contracts and Support Unit CO663 – Anderson Dam Tunnel Project Project No. 91864005 5905 Winfield Boulevard San Jose, CA 95123-2428



Attn: Construction Contracts and Support Unit

Re: Anderson Dam Tunnel Project, Project No. 91864005, Contract No. CO663 - Bid

Appointment of Attorney-in-Fact

Obayashi Corporation is a member, partner and participant in **Dragados/Obayashi Joint Venture** (Joint Venture of Obayashi Corporation and Dragados USA) intending to submit a Bid Package to Santa Clara Water Valley District for the above referenced project.

Obayashi Corporation hereby designates and appoints **Rinkou Aki**, President of Obayashi Corporation North American Civil Group, as its <u>Attorney-in-Fact</u> to execute the Bid documents, and other instruments on behalf of Obayashi Corporation; to act for and bind it in all matters relating to the Bid; and to delegate said authority to another if deemed prudent.

Signed: Obayashi Corporation

(Signature) Hirokazu Onozaki Managing Executive Officer President of North American Regional Headquarters

3 202

(Date)

(Corporate Seal)



After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.

2. Fold the printed page along the horizontal line.

3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com.FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim.Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss.Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our ServiceGuide. Written claims must be filed within strict time limits, see current FedEx Service Guide.

FedEx

TRACK ANOTHER SHIPMENT

773107122113

ADD NICKNAME

Delivered Wednesday, March 10, 2021 at 1:01 pm

DELIVERED

Signed for by: H.ISRAEL GET STATUS UPDATES **OBTAIN PROOF OF DELIVERY**

Adult signature required 📀

FROM

Costa Mesa, CA US

то SAN JOSE, CA US

Travel History

TIME ZONE Local Scan Time

Wednesday, March 10, 2021

1:01 PM	SAN JOSE, CA	Delivered
8:40 AM	SAN JOSE, CA	On FedEx vehicle for delivery
7:38 AM	SAN JOSE, CA	At local FedEx facility
6:00 AM	OAKLAND, CA	Departed FedEx location

Tuesday, March 9, 2021

11:43 PM	OAKLAND, CA	Arrived at FedEx location
8:19 PM	IRVINE, CA	Left FedEx origin facility
6:41 PM	IRVINE, CA	Picked up
6:12 PM	IRVINE, CA	Picked up Tendered at FedEx Office

10:28 AM

Shipment Facts

Shipment information sent to FedEx

TRACKING NUMBER

773107122113

DELIVERED TO Receptionist/Front Desk

TERMS Shipper

SPECIAL HANDLING SECTION Deliver Weekday, Adult Signature Required

STANDARD TRANSIT 3/10/21 by 4:30 pm ⑦ SERVICE FedEx Standard Overnight

TOTAL PIECES 1

SHIPPER REFERENCE Project No. 91864005

SHIP DATE 3/9/21 ⑦

ACTUAL DELIVERY 3/10/21 at 1:01 pm **WEIGHT** 0.5 lbs / 0.23 kgs

TOTAL SHIPMENT WEIGHT 0.5 lbs / 0.23 kgs

PACKAGING FedEx Envelope

SIGNATURE SERVICES Adult signature required ⑦